OIPE JC133 JUN 11 2001 PATENT & TRADEMARK OFFICE

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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope, with sufficient postage, addressed to: Commissioner for Patents, Washington, D.C. 20231, on

June 6, 2001
Date of Deposit

James L. Katz, Reg. No. 42,711
Name of Applicant, Assignee or Registered Representative

Signature

6/6/01
Date of Signature

Our Case No.: 10432/44
(Heidelberg Ref. No. 10108)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Roztocil, et al.

Serial No.: 09/803,166

Filing Date: March 9, 2001

For: SYSTEM AND METHOD FOR VISUAL REPRESENTATION OF TABS IN A PRODUCTION PRINTING WORKFLOW

Examiner: Unassigned

Group Art Unit No.: 2622

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed on the attached Form PTO-1449 be considered by the Examiner and made of

record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

Applicants wish to bring to the Examiner's attention the following pending United States Patent application:

1. U.S. Patent Application Serial No. 09/803,387, (Attorney Docket No. 10432/60), filed 03/09/01.

Applicants additionally request that citations to this patent application **not** be printed on the patent (MPEP § 609 D). Pursuant to 37 C.F.R. § 1.98(a)(2)(iii), a copy of this application is not included. If the Examiner would like Applicants to provide a copy, please call the undersigned at (312) 321-7739.

Applicants also wish to bring to the Examiner's attention the following exhibits attached hereto. Exhibit A shows the user interface window of an Adobe Acrobat® plug-in application for generating text for tab separator pages. A plug-in is a program which enhances the functionality of Adobe Acrobat®, a document editing tool manufactured by Adobe Systems, located in San Jose, California. The user interface permits the specification of tab label text, tab mode, plex, tab page size, tab position, text orientation, text alignment, text justification, tab set size, tab color, text font, text font size and text font color. The user interface generates tab separator pages according to the set options and inserts them into the current working document of the Adobe Acrobat® computer program.

Exhibit B shows the splash screen of the Xerox DigiPath 2.0 Production Software program ("DigiPath") manufactured by Xerox Corporation, located in Stamford, Connecticut. DigiPath is a software package utilized to prepare documents for production printing. Exhibits C-M show various user interface elements and representative display

windows. Exhibit C shows a user interface dialog box of DigiPath for defining pre-cut tab stock. Exhibit D shows a user interface dialog box of DigiPath for specifying various paper stocks. Exhibit E shows a DigiPath document window view displaying a simplex three hole punched document showing an even numbered page. Exhibit F shows a user interface dialog box of DigiPath for specifying page layout and page plex. Exhibit G shows a DigiPath document window view displaying a duplex three hole punched document showing an even numbered page. Exhibit H shows a DigiPath document window view displaying a document printed on colored paper (color not visible). Exhibit I shows a DigiPath document window view displaying a tab separator page of a duplex document (odd numbered page). Exhibit J shows a DigiPath document window view displaying a subsequent tab separator page to that shown in Exhibit H for a duplex document (even numbered page). Exhibit K shows user interface dialog box for entering annotation text. Exhibit L shows a DigiPath document window view displaying the default placement of annotation text added with the dialog box of Exhibit K. Exhibit M shows a DigiPath document window view displaying the placement of annotation text onto a tab cut of an even numbered tab separator page.

In accordance with 37 C.F.R. § 1.97(g),(h), this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

This Information Disclosure Statement is being filed prior to the receipt of the first Official Action reflecting an examination on the merits and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in

connection with filing of this Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these materials, the Commissioner is hereby authorized to deduct said fees from Brinks Hofer Gilson & Lione Deposit Account No. 23-1925. A duplicate copy of this document is enclosed.

This application is a continuation-in-part application of U.S. Serial No. 09/573,093, filed May 17, 2000 and is relied upon for an earlier filing dated under 35 U.S.C. § 120. In accordance with Rule 37 C.F.R. § 1.98(d) only copies of documents not previously cited and submitted to the Patent and Trademark Office in the prior application Serial No. 09/573,093 are enclosed for the convenience of the Examiner.

Applicant(s) respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,

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Registration No. 42,711
Attorney for Applicant(s)

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FORM PTO-1449	SERIAL NO. 09/803,166	CASE NO. 10432/44
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE March 9, 2001	GROUP ART UNIT 2622
(use several sheets if necessary)	APPLICANT(S): Roztocil et al.	

REFERENCE DESIGNATION **U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A1	5,699,494	02/16/97	Colbert et al.	395/114	05/01/96
	A2	5,946,461	08/31/99	Landry et al.	395/117	04/30/97
	A3	6,026,258	02/15/00	Fresk et al.	399/87	10/27/98
	A4	6,134,568	10/17/00	Tonkin	707/526	10/30/98
	A5					

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES	NO
	A6						
	A7						

EXAMINER INITIAL		OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)
	A8	Manual, "Adobe® "Portable Document Formal Reference Manual, Version 1.3," pp. 2-518.
	A9	"Adobe® Acrobat 4.0 Guide," pp. 1-595
	A10	Brochure, "Quite Imposing Plus Online Guide 1.2."
	A11	Brochure, "W3C Extensible Markup Language (SML) 1.0," W3C Recommendation 10-Feb-98, pp. 1-32.
	A12	Brochure, "StampPDF™ Users Guide – An Acrobat Plugin," pp. 6-82, © 1999 Digital Applications, Inc.
	A13	Brochure, "TIFF™ Revision 6.0" Specification, pp. 4-120.
	A14	Manual, "TWAIN Specification Version 1.8," pp. 1-516.
	A15	Manual, "Open Document Management API, Version 2.0," pp. 1-87.
	A16	Brochure Guide, "Network Imaging System Interface Development Guide, Release 2," pp. 1-1 – 6-4.
	A17	Dan Phelps and John Thompson, "Rendering For Electronic Printers and Copiers," pp. 1-72.
	A18	Kodak Publication No. FN9074 5/85 – "Fundamentals of Digital Copiers – Revision 1," pp. 1-49.
	A19	Heidelberg Digital L.L.C. "TabMaker Software" setup windows printout, ©2000 Heidelberg Digital L.L.C., coded by Ron Savino.
	A20	Alto Imaging Technologies® "Image Arranger" Installation and Quick Reference, pp. 1-23, Revised 5/28/01.

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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	A14						
	A15						
	A16						
	A17						
	A18						

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